



1632
JFW

PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|----------------------|------------------------|------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/581,890 | |
| | Filing Date | August 28, 2000 | |
| | First Named Inventor | Oliver BRUESTLE | |
| | Art Unit | 1632 | |
| | Examiner Name | A. M. Falk | |
| Total Number of Pages in This Submission | 44 | Attorney Docket Number | VOS-012/2200437.122US1 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply (10pp) | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | -Previously-filed IDS w/references (39pp) |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | -Return Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | WILMER CUTLER PICKERING HALE AND DORR LLP | | |
| Signature | | | |
| Printed name | Ann-Louise Kerner, Ph.D. | | |
| Date | August 20, 2007 | Reg. No. | 33,523 |

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 20, 2007

Signature:

(Rochelle Capobianco)

Title: NEURAL PRECURSOR CELLS, METHOD FOR THE PRODUCTION AND USE THEREOF IN NEURAL DEFECT THERAPY

Serial/Patent #: 09/581,890 1st Inventor: BRUESTLE Applicant: BRUESTLE

The following have been received by the US Patent and Trademark Office on the date stamped hereon.

☐ Express Mail Label No. ☒ Fee Transmittal Form ☒ Transmittal Form

☒ Certificate of Mailing - 37 CFR 1.8 (a) ☒ Deposit Account Authorization \$805.00

☒ Applicant Claims Small Entity Status ☐ Copy of/☐ Response to: Notice to File Missing Parts

☐ Provisional Application Cover Sheet ☐ Preliminary Amendment (32 pp)

☐ New Application (Design) ☐ PTO-1449 and 5 publications (Supl Supplemental)

Specification - Total Pages ☐ Sequence Listing: ☐ CD-ROM ☐ Disc (CRF) ☐ Paper

Formal Drawings - Total Sheets ☐ Petition for 3 month extension of time

☐ CPA application ☐ RCE application ☒ Response to Office Action / Restriction Req. w/ attachment (32p)

☒ Oath of Declaration: newly executed ☒ Notice of Appeal

 unsigned; copy from priority case ☐ Appellant's Brief (triplicate) (☐ Reply Brief)

☐ Assignment and Recordation Form ☐ Issue Fee + soft copies / ☐ Maintenance Fee

☐ Application Data Sheet (Initial Information Data Sheet)

☐ Continuation ☐ Divisional ☐ Continuation-in-Part of App No:

☒ Other: Declaration of Dr. Oliver Bruestle under 37 CFR

☐ Other: § 1.132 (unsigned w/ Appendices A-I) (80 pp)

Mailing Date: 8/22/03 CM No. 107070.113 Filed By: SK/AC/RC

VOS-012